



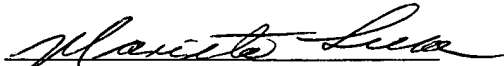
PATENT
Docket No. 116692000300

Receipt

#5
JRM
2-14-02

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on July 23, 2001.


Marieta Luke

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Toshiyuki NAKAO et al.

Serial No.: 09/779,138

Filing Date: February 8, 2001

For: DEVICE MANAGEMENT SYSTEM,
MANAGEMENT CLIENT,
CONTROLLER SERVER, METHOD
FOR MANAGING USAGE CONTEXT
OF DEVICE, AND RECORDING
MEDIUM WHICH RECORDS THE
METHOD

Examiner: to be assigned

Group Art Unit: 2131

RECEIVED
DEC 26 2001
Technology Center 2100

REQUEST FOR A CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Please furnish the undersigned with a corrected Filing Receipt for the above-identified application. The corrections needed is as follows (in bold type):

Under Title please delete

“ DEVICE MANAGEMENT SYSTEM, MANAGEMENT CLIENT, CONTROLLER
SERVER, METHOD FOR MANAGING USAGE CONTEXT OF DEVICE, AND
RECORDING MEDIUM WHICH RECORDS THE METHOD BACK GROUND OF
INVENTION” and insert

-- DEVICE MANAGEMENT SYSTEM, MANAGEMENT CLIENT, CONTROLLER
SERVER, METHOD FOR MANAGING USAGE CONTEXT OF DEVICE, AND
RECORDING MEDIUM WHICH RECORDS THE METHOD--.


A copy of the marked-up Filing Receipt is attached.

In the event that the transmittal letter is separated from this request and the Patent and Trademark Office determines that a fee is required, applicants petition for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

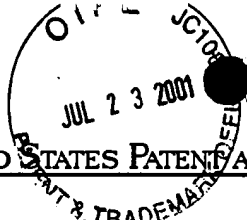
Dated: July 23, 2001

By:



Alex Chartove
Registration No. 31,942

Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Suite 5500
Washington, D.C. 20006-1888
Telephone: (202) 887-1594
Facsimile: (202) 263-8396

**COPY**

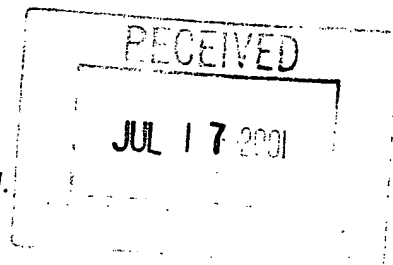
UNITED STATES PATENT AND TRADEMARK OFFICE

11669-20003.00

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/779,138	02/08/2001	2131	1368	080350.0004	31	21	11

Alex Chartove
 Morrison & Foerster LLP
 2000 Pennsylvania Avenue, N.W.
 Washington, DC 20006-1888



CONFIRMATION NO. 1451

FILING RECEIPT

OC000000005936694

Date Mailed: 07/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Toshiyuki Nakao, Yokohama-shi, JAPAN;
 Kazuhiro Hayama, Yokohama-shi, JAPAN;
 Norihiko Matsuo, Kawasaki-shi, JAPAN;

Assignment For Published Patent Application

Ricoh Company, Ltd., Tokyo, JAPAN;

Domestic Priority data as claimed by applicant**Foreign Applications**

JAPAN 2000-30034 02/08/2000

If Required, Foreign Filing License Granted 04/04/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Device management system, management client, controller server, method for managing usage

RECEIVED
 DEC 26 2001
 Technology Center 2100

DOCKETED

context of device, and recording medium which records the method [background of the invention]

Preliminary Class

713

Data entry by : STOKES, TUSHOMBE

Team : OIPE

Date: 07/13/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Page 1 of 1
JUL 23 2001
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20530
www.uspto.gov

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20535
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/779,138	02/08/2001	Toshiyuki Nakao	080350.0004

CONFIRMATION NO. 1451

FORMALITIES LETTER



OC00000005936695

**Alex Chartove
Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, DC 20006-1888**

Date Mailed: 07/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

07/25/2001 BABRAHA1 00000015 031952 09779138

01 FC:105 130.00 CH



PATENT
Docket No. 11669-2000300

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on July 23, 2001.

Marieta Luke
Marieta Luke

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Toshiyuki NAKAO et al.

Serial No.: 09/779,138

Filing Date: February 8, 2001

For: DEVICE MANAGEMENT SYSTEM,
MANAGEMENT CLIENT,
CONTROLLER SERVER, METHOD
FOR MANAGING USAGE CONTEXT
OF DEVICE, AND RECORDING
MEDIUM WHICH RECORDS THE
METHOD

Examiner: to be assigned

Group Art Unit: 2131

TRANSMITTAL LETTER FOR MISSING PARTS OF APPLICATION

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

In complete response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(d) dated July 13, 2001, Applicants as a large entity submit herewith the following:

- ☒ A combined Declaration and Power of Attorney signed by the inventor and the surcharge of \$130.00 as set forth in 37 C.F.R. § 1.16(e).
- ☒ Fee Transmittal
- ☒ Duplicate copy of fee transmittal for fee processing
- ☒ Other: Copy Form PTO 1533.

The filing fee has been calculated as follows:

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	21 - 20 =	1	x \$18.00	\$18.00
INDEPENDENT CLAIMS	11 - 3 =	8	x \$80.00	\$640.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$0.00
			BASIC FEE	\$710.00
			TOTAL OF ABOVE CALCULATIONS =	\$1368.00(previously paid)
Reduction by 1/2 for filing by small entity (Note 37 C.F.R. §§ 1.9, 1.27, 1.28). If applicable, verified statement must be attached.				\$0.00
SURCHARGE FOR FILING MISSING PARTS				\$130.00
			TOTAL =	\$130.00

☒ Charge \$130.00 to Deposit Account No. 03-1952.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required by this transmittal and associated documents, or to credit any overpayment to Deposit Account No. 03-1952.

Dated: July 23, 2001

Respectfully submitted,

By:



Alex Chartove
Registration No. 31,942

Morrison & Foerster LLP
2000 Pennsylvania Avenue, N.W.
Washington, D.C. 20006-1888
Telephone: (202) 887-1594
Facsimile: (202) 263-8396

JUL 23 2001

PTO/SB/17 (09-00)

Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
FOR FY 2001**

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/779,138
Filing Date	February 8, 2001
First Named Inventor	Toshiyuki NAKAO et al.
Examiner Name	to be assigned
Group Art Unit	2131
Attorney Docket No.	116692000300

TOTAL AMOUNT OF PAYMENT**(\$130.00)****METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **03-1952**

Deposit Account Name **Morrison & Foerster LLP**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$0.00)**2. EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
- 20** =	x	= \$	
Independent Claims - 3** =	x	= \$	

Multiple Dependent = \$

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claims, if not paid	
109	80	209	40	**Reissue independent claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$0.00)

** or number previously paid, if greater; For reissues, see above.

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions of the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per properties (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR § 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$130.00)**SUBMITTED BY**

Complete (if applicable)

Name (Print/Type)	Alex Chartove	Registration No. (Attorney/Agent)	31,942	Telephone	202-887-1594
Signature	<i>Alex Chartove</i>	Date	July 23, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.